

#### **COVID-19 Insights on the HIPAA Privacy Proposed Rule**

**Presented by Susan Clarke** 

Health Care Information Security and Privacy Practitioner
Thursday, March 25 | 11:00-12:00 p.m.

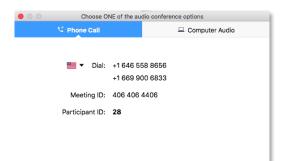






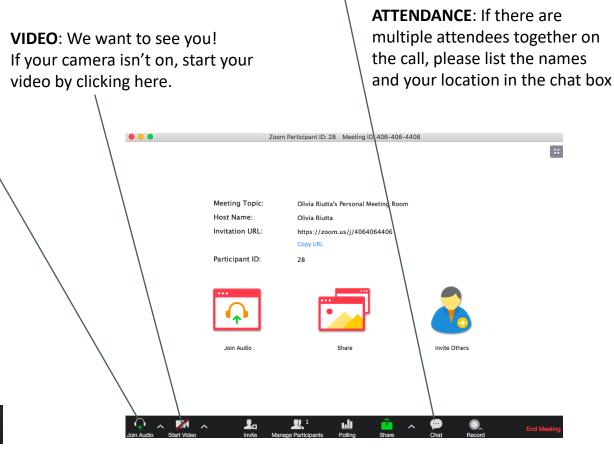
#### Zoom tips and tricks!

**CHAT**: Please jump in if you have something to share, but we also have this nifty chat function.



AUDIO: You can use your computer speakers or your phone for audio. The phone is generally better quality. If you click "Join Audio," this "Choose one..." box will pop up. If you dial in, just make sure you include your audio code.

**MUTE/UNMUTE**: \*6 or click the mic on the bottom left of your screen.



#### **Upcoming HCCN Sessions**

#### **TELEHEALTH TUESDAY SESSIONS**

3<sup>rd</sup> Tuesday of each month at 11:00 a.m.

April 20: Telehealth Workflows and Staffing Optimization

May 18: Remote Patient Monitoring for Patient Care

June 15: Privacy and Security Considerations of Telehealth

**HIPAA Webinar Series with Susan Clarke** 

Thursday, June 17 at 11:00 a.m. Thursday, September 16 at 11:00 a.m. Thursday, December 16 at 11:00 a.m.

#### OTHER HCCN EVENTS

Hypertension Control and Remote Patient
Monitoring Peer Learning Meeting
March 26 at 10:00 a.m.

ImMTrax Learning Collaborative
April 7<sup>th</sup> 11:00 a.m.

Azara DRVS User Group April 8th at 10:00 a.m.

CURES Act Compliance: Policy Review Webinar

April 21st 11:00 a.m.

April 29 at 1:00 p.m.



#### Susan Clarke, HCISPP



(ISC)<sup>2</sup> Healthcare Information Security and Privacy Practitioner and Computer Scientist at Mountain-Pacific Quality Health.

Conducts privacy and security risk analysis in addition to HIPAA and 42 CRF, Part 2 training.

20 years' experience in health care operations.

10 years' design and coding EHR software including HL7 Healthcare application development.

Served on IT security, disaster recovery and joint commission steering committee at Mayo Clinic-affiliated health care system.

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### Acronyms

**BA:** Business Associate

**BAA:** Business Associate Agreement

**CE:** Covered Entity

**CEHRT:** Certified Electronic Health

Record Technology

**CMS:** Centers for Medicare &

**Medicaid Services** 

**EHR:** Electronic Health Record

**ePHI:** Electronic Protected Health

Information

HHS: Department of Health and

**Human Services** 

**HIPAA:** Health Insurance Portability

and Accountability Act

**HIT:** Health Information Technology

**IT:** Information Technology

**NIST:** National Institute of Standards

and Technology

**OCR:** Office for Civil Rights

**NPP:** Notice of Privacy Practices

**NPRM:** Notice of Proposed

Rulemaking

**PHA:** Personal Health Application

**PHI:** Protected Health Information

**SP:** Special Publication

**SRA:** Security Risk Analysis



### Learning Objectives

- 1. Overarching Privacy Trends
- 2. Patient's Right to Access and Sharing
- 3. Care Coordination and Case Management
- 4. COVID Driven Family and Caregiver Evolvement
- 5. Enhanced Flexibility during Emergencies
- 6. Reducing Administrative Burden

#### HIPAA Safe Harbor Bill



Signed January 5, 2021



**Amends HITECH Act** ("recognized cybersecurity practices")



Lenient fines if basic safeguard requirements met

- HIPAA Security Rule
- Security risk analysis









#### **Current Outlook**



## The Bigger Picture

#### New proposed HIPAA privacy rule:



"Our proposed changes to the HIPAA Privacy Rule will break down barriers that have stood in the way of commonsense care coordination and value-based arrangements for far too long," said HHS Secretary Alex Azar. "As part of our broader efforts to reform regulations that impede care coordination, these proposed reforms will **reduce burdens on**providers and empower patients and their families to secure better health."

# New Proposed HIPAA Privacy Rule Brought on or Heightened by COVID



#### Public Comment Date Moved



#### Comment at:

 $\underline{https://www.federalregister.gov/documents/2021/01/21/2020-27157/proposed-modifications-to-the-hipaa-privacy-rule-to-support-and-remove-barriers-to-coordinated-care}$ 









## **Key Proposed Changes**

## Notice of Privacy Practices (NPP)

Patient's written acknowledgment of receiving provider's **NPP** 

Eliminate

Reduce administrative burden

Requirement to retain documentation for 6 years



### NPP Content Change

The content requirements of the NPP would be modified to clarify patient's rights with respect to their protected health information (PHI) and how to exercise those rights, related to required language regarding:

- (1) How to access health information.
- (2) How to file a HIPAA complaint.
- (3) Patient's rights to receive a copy of the notice and to discuss its contents with a designated person.

#### Care Coordination Clarifications

NPRM proposes to change definition of "health care operations"



Includes care coordination and case management for patients

### Minimum Necessary Today

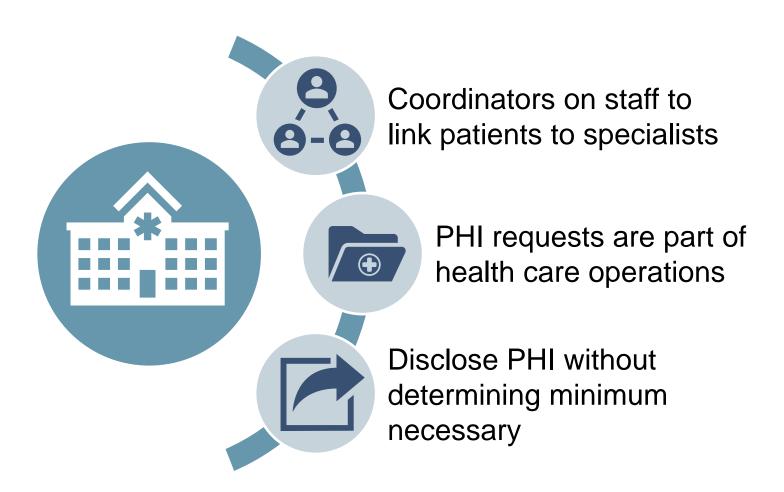
Requires health centers to limit uses and disclosures of PHI to minimum necessary to accomplish purpose of each use/disclosure

## Exceptions to Minimum Necessary Standards

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Add express exception for disclosures to health plan or covered health care provider for care coordination and case management

#### Example: **Exceptions to Minimum Necessary Standards**



## **Expressly Permitted**

#### Health centers can disclose PHI to

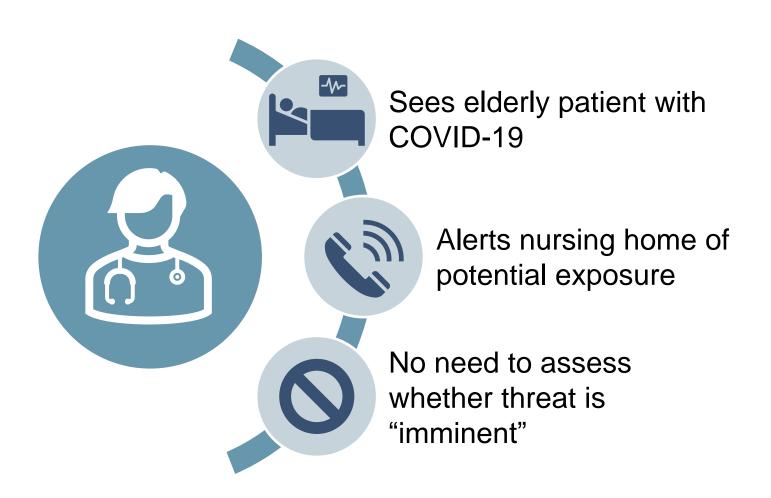
- Social services agencies
- Community-based organizations
- Home- and community-based service providers
- Other similar third parties that provide healthrelated services

#### Disclosures to Prevent Harm

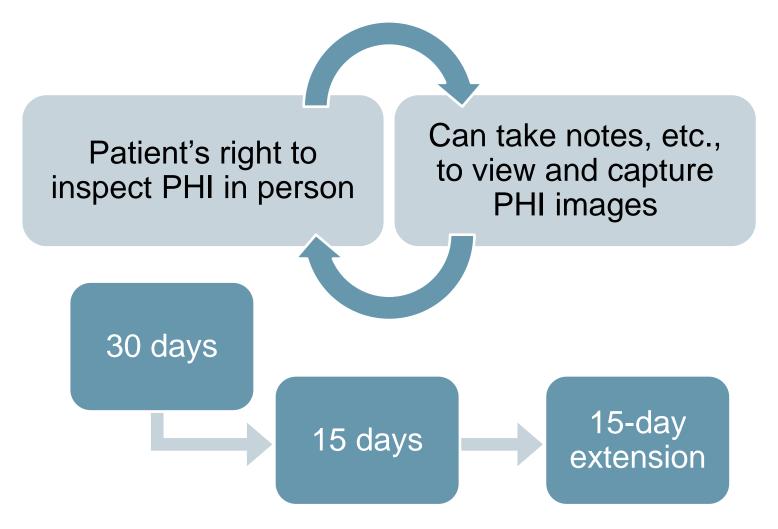
#### Health centers can disclose PHI to

- Avert threat to health or safety when harm is "serious and reasonably foreseeable"
- Instead of current, stricter standard which requires "serious and imminent" threat
- Can be used to help combat COVID-19

### Example: Disclosures to Prevent Harm



## Patient's Right to Access: Time



## Patient's Right to Access: Fees

#### **NPRM:**



- Defines permissible and impermissible charges. Inspecting is always free.
- Can charge third-party direct copy fee.
- Do not violate State Law.

## Patient's Right to Access: Ease



Reduce requirements for identity verification of patients requesting access

# Patient's Right to Access: Sharing



Patients can direct sharing of their health records in an electronic health record (EHR) among covered health care providers and health plans.



Covered health care providers and health plans must submit patient's access request to another health care provider and receive electronic copies in an EHR.

# Patient's Right to Access: Sharing

#### **NPRM:**



Patient's right of access would be limited to direct transmission to a third party to only include electronic PHI, i.e., must be part of an EHR

### NPRM Definition Changes

## Electronic Health Record (EHR):

An electronic record of health-related information on an individual that is created, gathered, managed and consulted by authorized health care clinicians and staff

## Personal Health Application:

An electronic application used by an individual to access health information about that individual in electronic form, which can be drawn from multiple sources, provided that such information is managed, shared and controlled by or primarily for the individual, and not by or primarily for a covered entity or another party such as the application developer

## Final Thoughts

- NPRM includes changes to reduce unnecessary administrative burdens and add clarity to HIPAA Privacy Rule
- How will proposed changes impact patient's privacy and health center's operations?
- Growing number of defined data sets adds complexity, e.g.,
  - Interoperability policy
  - Electronic health records
  - Traditional HIPAA's "designated record set"
  - ONC Interoperability and Information Blocking Rule's "electronic health information"
  - FTC Breach Notification Rule's "personal health record"
- 4 Health centers should consider providing feedback Comment period extended to May 6, 2021



#### Resources Used

- The National Law Review
   https://www.natlawreview.com/article/comment-period-extended-extensive-changes-to-hipaa-privacy-rule
- Proposed Mods to the HIPAA Privacy Rule
   <a href="https://www.federalregister.gov/documents/2021/01/21/2020-27157/proposed-modifications-to-the-hipaa-privacy-rule-to-support-and-remove-barriers-to-coordinated-care">https://www.federalregister.gov/documents/2021/01/21/2020-27157/proposed-modifications-to-the-hipaa-privacy-rule-to-support-and-remove-barriers-to-coordinated-care</a>



#### Please let me know how I can help.

#### For assistance please contact:

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## THANKS FOR YOUR VALUABLE TIME TODAY!